



**simpson  
bowers**  
Wealth Management

## ONGOING SERVICE CLIENT AGREEMENT

This Ongoing Service Client Agreement is signed this day \_\_\_\_\_ of \_\_\_\_\_ 20\_\_\_\_ between:

First party: \_\_\_\_\_ Simpson Bowers Wealth Management Ltd \_\_\_\_\_ (the firm)

Second party: \_\_\_\_\_ (the client/s)

### 1. Introduction

1.1 This agreement sets out the general conditions under which the firm's services are to be provided to the client, including details of the specific services required and the firm's charges for these services. This agreement must be read in conjunction with the firm's Terms and Conditions, About Our Services and Fees, and Client Agreement documents.

### 2. Obligations

2.1 The client agrees to provide the firm with full and frank details about their personal, financial and other circumstances including knowledge and experience, risk appetite, ability to tolerate losses, capacity to accept losses and the client's aims and objectives in respect of any financial needs. The client has the legal capacity to enter into this agreement.

2.2 The firm confirms that it has the necessary delegated regulatory permissions to provide advice and services in those areas as disclosed in the firm's About Our Services and Fees document.

2.3 The client has agreed to appoint the firm as their agent.

2.4 The firm has agreed to act as the agent for the client in relation to the services recorded at clause 3 below.

2.5 Unless otherwise confirmed in writing, the firm agrees to treat the client as a Retail Client.

### 3. Service

3.1 The firm agrees to provide the client with an ongoing service in relation to financial instruments held by the client as listed below:

3.2 All ongoing services include the monitoring of the client's financial instruments to assess that all of these financial instruments continues to be suitable to meet the client's aims and objectives.

### 4. Payment

4.1 The client agrees to the firm collecting payment on an agent of In Partnership in respect of the firm's Ongoing Service by

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Payment Option \_\_\_\_\_ Ongoing Service Level \_\_\_\_\_  
Amount £ \_\_\_\_\_ OR \_\_\_\_\_ % annually of the value of the client's financial instruments/portfolio.  
  
[e.g. for investable assets of £20,000 our annual fee would be £ \_\_\_\_\_ ]  
[for investable assets of £20,000 our monthly fee would be £ \_\_\_\_\_ ]

**5. Cessation of the agreement**

5.1 The client agrees to settle in full any outstanding payments due to the firm at the time of the termination of this agreement. The firm agrees to provide the client with full details of all incomplete transaction at the time of the termination of the agreement, to assist the client in achieving completion of these.

**6. Declaration**

6.1 This document is our standard Ongoing Client Agreement upon which we intend to rely. For your own benefit and protection you should read this agreement carefully. If you do not understand any point please ask for further information and explanation before signing this agreement.

Signature for firm ..... Signature for client/s  
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